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06-04-01

PTO/SB/05 (11/00)

Approved for use through 10/31/2002. OMB 6651-0032

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06/01/01

| | | |
|---|------------------------|--|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | 830002-2003.1 |
| | First Inventor | Michel SADELAIN |
| | Title | ARTIFICIAL ANTIGEN PRESENTING CELLS AND METHODS OF USE THEREOF |
| | Express Mail Label No. | EL742692686US |

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 8. Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>51</u>] | a. <input checked="" type="checkbox"/> Computer Readable Form (CFR) |
| 4. <input checked="" type="checkbox"/> Drawings (35 U.S.C. 113) [Total Sheets <u>12</u>] | b. Specification sequence Listing on: |
| 5. Oath or Declaration [Total Pages <u> </u>] | i. <input checked="" type="checkbox"/> CD-ROM or CD-R (2 copies); and |
| a. <input type="checkbox"/> Newly executed (original or copy) [UNSIGNED] | ii. <input checked="" type="checkbox"/> paper |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> | c. <input checked="" type="checkbox"/> Statements verifying identity of above copies |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | ACCOMPANYING APPLICATION PARTS |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76. | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> |

19. CORRESPONDENCE ADDRESS

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| Name | | |

| | | | |
|-----------|------------------------|-----------------------------|-----------------|
| Name | Susan K. Lehnhardt | Registration No. (Attorney) | Reg. No. 33,943 |
| Signature | <i>Susan Lehnhardt</i> | Date | June 1, 2001 |

Mailing Label Number: EL 742692686 US
Date of Deposit: 06/01/01

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | TO BE ASSIGNED |
| Filing Date | CONCURRENT |
| First Named Inventor | Michel SADELAIN |
| Examiner Name | TO BE ASSIGNED |
| Group/Art Unit | TO BE ASSIGNED |
| Attorney Docket No. | 830002-2003.1 |

TOTAL AMOUNT OF PAYMENT

(\$ 1,138..00)

METHOD OF PAYMENT1. ☒

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Name

Frommer Lawrence & Haug LLP

Deposit
Account
Number

50-0320

☒ Charge any Additional Fee Required
Under 37 CFR 1.16 and 1.17☐ Charge the Issue Fee Set in 37 CFR
1.18 at the Mailing of the Notice of
Allowance2. ☐ Payment Enclosed☐ Check☐ Money Order☐ Other**FEE CALCULATION****BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 355.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | 355.00 |

EXTRA CLAIM FEES

| Total Claims | Extra Claim | Fee from below | Fee Paid |
|--------------------|-------------|-------------------|-----------------|
| 92 | -20** = | 72 | X 9.00 = 648.00 |
| 3 | -3** = | | X = |
| Multiple Dependent | | X 135.00 | = 135.00 |

** or number previously paid, if greater; for reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 130 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |
| 107 | 490 | 207 | 245 | Plant filing fee |

SUBTOTAL (2) (\$783.00)

FEE CALCULATION**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|--|----------|
| 105 | 130 | 205 | | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or Cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request of reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 160 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use Proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive- unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional Applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) (\$)

* Reduced by Basic Filing Fee

SUBMITTED BY

Typed or Printed Name

Susan K. Lehnhardt

Signature

Susan Lehnhardt

Date

6/1/01

Complete (if applicable)

Reg. Number

33,943

Deposit Account

50-0320

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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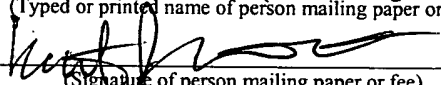
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Memorial Sloan-Kettering Cancer Center
U.S. Serial No.: Filed Concurrently
Filing Date : Filed Concurrently
For : ARTIFICIAL ANTIGEN PRESENTING CELLS
AND METHODS OF USE THEREOF
Examiner : To be Assigned

745 Fifth Avenue, New York, NY 10151

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Date of Deposit: 06/01/01

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KENNETH PATTERSON
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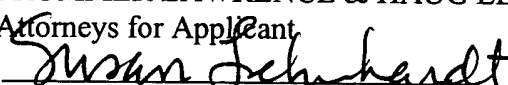
STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.821-1.825

Assistant Commissioner for Patents
Box Patent Application, Washington, D.C. 20231

Dear Sir:

The undersigned hereby states that the content of the printed Sequence Listing for the above-referenced application, and the computer readable copy, submitted in accordance with 37 C.F.R. §§ 1.821(c) and (e), are the same and do not contain any new matter.

In the unlikely event that the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commission to charge the cost of such petitions and/or other fees due to our Deposit Account No.: 50-0320.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant

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09872332-060101